#### **Contact Details**

# tko consulting Thomas Kögler (Dipl.-Ing.)

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#### **Personal Profile and Skills**

Project manager with strong consulting background and long-term experience in national as well as in international companies. Special strengths in implementing risk control frameworks, planning and realisation of change processes using the Lean Six Sigma approach with direct involvement of management and members of staff.

### **Key Professional Experience and Special Skills**

### **Methodological Skills**

- Project Management (> 20 years)
- Operational Risk Management (> 12 years)
- Effective Stakeholder Management (> 12 years)
- Business Continuity Management (> 12 years)
- Crisis Management (> 12 years)
- Change Management (> 12 years)
- Business Analysis (> 12 years)
- IT Tests (> 12 years)
- IT and Business Process Management (> 10 years)
- Conflict Management (> 4 years)
- Lean Management (> 4 years)

### **Additional Skills and Strengths**

- Training, coaching and business consulting in a highly regulated environment
- Transparent leadership and effective communication skills (conflict solving methods, negotiation, moderation of workshops)
- Strong business and delivery-oriented approach combined with a broad IT engineering background
- High quality awareness, excellent organizational and planning skills
- Efficient realisation of projects with regard to budget and time
- Abstraction of solution of complex issues

### **Business and Industry Skills**

- Planning and execution of assessments and workshops for Risk Management and Lean Management
- Planning and execution of Crisis Management Exercises and IT Tests in collaboration with local authorities
- Profound practice-oriented experience in and knowledge of the financial industry: Operational Risk Management, Internal and External Audit, Interface between Business and Data IT
- Data Protection Assessments and General Data Protection Regulation (GDPR) compliance issues
- Profound practice-oriented experience in and knowledge of development and introduction of Key Procedural Controls (KPCs), Management Information Systems (MIS) and automated Reporting Systems
- Business Process Transformation (Automation and Digitalisation of existing processes)
- Experience in and knowledge of Asset Management across all Investment Areas
- Turnaround Management in finance and industry

# Project and Professional Experience (Abstract of Relevant Projects)

### Senior Risk Advisor (12 months)

Professional Risk Management: Driving business resilience issues forward by mitigating risks along the entire value chain. (Fund Service Provider)

- Implementation of Business Continuity Management System (BCMS) in accordance with ISO22301
- Business Impact Analysis (BIA) across the entire organisation
- Compliance Hand Book

# Senior Risk Manager (48 months)

Professional Risk Management: Driving business resilience issues forward by mitigating risks along the entire value chain. (major Swiss Asset Manager)

- Quarterly risk assessment and reporting
- Annual business resilience tests together with internal IT service provider in Switzerland and Luxembourg
- Development of Management Information System for Investment Guideline Monitoring
- Development of automated risk reporting system and global rollout
- Quality management tool for the supervision of outsourcing activities (measuring service level)
- Redesign and test of remote access specifications for outworkers
- Implementation of Key Procedural Controls (KPCs) in corporate risk framework
- Development of COO dashboard to manage operational risks

# Consultant (6 months)

Support of a national bank in preparing a comprehensive crisis exercise.

- Definition of the scope of exercise
- Support of the project leader
- Cooperation with other major stakeholders
- Workshop on Crisis Management at a domestic regulatory agency

### Senior Risk Advisor/Consultant (18 months)

Introduction into professional Risk Management (startup company) and Compliance with legal obligations

- Organisation development and Stakeholder
  Management
- Execution of Privacy Impact Assessment for GDPR
- Process modelling (BPMN) of product and service portfolio
- Risk Management and GDPR Compliance
- Mobile App and Web portal testing

# Risk Manager (24 months)

Professional Risk Management: Improvement of risk awareness in major European offices. (major Swiss Asset Manager)

- BCM risk assessments in London, Milan, Paris, Luxembourg and Munich
- Cooperation with local regulatory authorities
- Effective Stakeholder Management
- Assurance of collaboration with all business divisions
- Presentation of risk-related issues in various risk committees/steering committees
- Implementation of Business Continuity requirements into IT Project Life Cycle

# **Risk Manager (36 months)**

Professional Risk Management: Setup and definition of business resilience issues in offices in Switzerland. (major Swiss Asset Manager)

- Stakeholder management
- Assessments with all business teams in Switzerland along the entire value chain
- Creation of Business Continuity manuals for all business teams
- Creation of Crisis Management handbook for Zurich and Basel with detailed instructions in an emergency
- Annual business resilience tests together with internal IT service provider in Switzerland

# Patent Developer (30 months)

Development of a universal mounting for mobile devices in vehicles. (own company)

- Research of existing solutions in various patent databases
- Definition of requirements for a new solution
- Development of a universal mounting for mobile devices in vehicles
- Application for a patent
- Issue of patent by DPMA in Munich
- Search of a partner for the production and sale of the patent

### **Consultant (4 months)**

Maintenance of large-scale SAP R/3 environments. (SAP Service Provider)

- Maintenance of SAP R/3 environments at various enterprises in Zurich
- Provision of backup and recovery solutions
- Organisation and execution of IT tests

### Trainee (28 months)

Realisation of process visualisation for a 1000 MW pumped storage hydro power station. (Engineering bureau)

- Evaluation of process visualisation software
- Adaption of visualisation software to power station environment
- Connection of more than 2000 measuring points to database and visualisation software
- Diploma thesis for process visualisation software
- Engineer practice in the use of process information systems

### **Consultant (28 months)**

Realisation of various data storage projects in the finance industry.

- Organisation of the development and establishment of a consultancy team
- Offering highly secure data storage for financial institutes (outsourcing)
- Portfolio definition Performance Measurement
- Customer presentation and acquisition in Switzerland, Germany and Austria

### IT Project Leader (60 months)

Realisation of various data storage projects in the finance and insurance industry as well as in the production industry across Switzerland and Liechtenstein. (IT engineering bureau)

- Overall project lead and team lead for data storage team
- Conduction of customer workshops
- Elaboration of customised data storage solutions for large scale environments
- Support of IT installations (file server, database server) on-site
- Certified engineer for Microsoft and IBM hard- and software

# Trainee (13 months)

Work at a telecommunication department in a large conglomerate.

- Back office work as a trainee/student
- Support of sales department
- Preparation and attendance on local fairs